



Wholesale Lending Division
New Broker Application

Complete and sign this form and submit via fax to your Community Banker (CB/AE) along with the following:

- Completed New Broker Application (signed by Broker of Record and authorized signors).
- Current Licenses for the main office and all branch locations to be approved.
- W-9 Form (see website or attached), signed by an authorized signor, including the firm's main address, appropriate box checked (Individual/ Sole Proprietor, Corporation, Partnership). And the appropriate Taxpayer Identification Number (TIN).

The W-9 form should be filled out in the full legal name of the firm – not the DBA name.

Company Legal Name: _____

Company DBA Name(s): _____

Federal Tax Employer ID Number or Social Security Number: _____

Broker is (select one):

- an individual
- a partnership organized under the laws of the state of _____
- a corporation organized under the laws of the state of _____
- a limited liability corporation organized under the laws of the state of _____

Street: _____

City: _____ State: _____ Zip Code: _____

Phone: _____ Fax: _____ Website: _____

Primary Contact: _____ Email: _____

Broker of Record: _____ Broker License Number: _____

HSOA Wholesale Community Banker: _____ License Expiration Date: _____

Licenses				
State	License Number	Expires	Exempt?	Why Exempt? *attach documentation

Please check box and sign below to authorize HSOA to send you informational emails, such as Rate Sheets, for any purpose to the email address listed above.

Please check box and sign below to authorize HSOA to send you informational faxes, such as Rate Sheets, for any purpose to the fax number listed above. I agree to receive notices, advertisements, announcements, brochures and other information from HSOA by facsimile at and/or at any other fax numbers used or operated by this company. I further agree this express consent will continue and have no date of expiration.

By signing below, Broker agrees to comply with all applicable laws, rules, and regulations, including but not limited to the Fair Housing Act, the Equal Credit Opportunity Act, the Truth in Lending Act and the Federal Reserve Regulations Z there under, the Real Estate Settlement Procedures Act (RESPA), the rules and regulation of all state and federal agencies and the Federal Bank Bribery Act.

"Broker" (TO BE SIGNED BY BROKER OF RECORD OR PRINCIPAL)

Date: _____

By: _____

Its: _____

Broker must sign and agree to the terms of the attached Addendum.